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PURPOSE The purpose of this policy is to set forth complaint procedures and

provide for the prompt and equitable resolution of any complaints by members of the public. For information about public participa-

tion in Board meetings, see BDB(LOCAL).

PROCEDURE Whenever members of the public have concerns related to the Col-

lege that cannot be resolved informally, the formal processed outlined in this policy will be followed so that issues can be addressed as soon as possible to allow resolution at the lowest possible ad-

ministrative level.

Even after initiating the formal complaint process, individuals are encouraged to seek informal resolution of their concerns. An individual whose concerns are resolved may withdraw a formal com-

plaint at any time.

The process described in this policy will not be construed to create new or additional rights beyond those granted by law or Board policy, nor to require a full evidentiary hearing or a "mini-trial" at any

level.

EXCEPTIONS Complaints for which other resolution procedures and policies are

provided will be directed through those procedures and channels.

CONSOLIDATING Complaints arising out of an event or a series of related events will be addressed in one complaint. An individual will not bring sepa-

rate or serial complaints arising from any event or series of events that have been or could have been addressed in a previous com-

plaint.

UNTIMELY FILING If a complaint form or appeal notice is not timely filed, the com-

plaint may be dismissed, on written notice to the individual, at any

point during the complaint process.

EXTENSION OF TIME Any time limits set by these procedures, other than the time limits

for filing the initial complaint, may be extended at the discretion of the District President or designee. To the extent possible, complaints under this policy should be resolved within 180 days from

the date the complaint is filed.

FREEDOM FROM

Neither the Board nor any College District employee shall unlaw-

<u>RETALIATION</u> <u>fully retaliate against any individual for bringing a concern or com-</u>

plaint.

<u>COMPLAINTS</u> <u>In this policy, the term "complaint" and "grievance" shall have the</u>

same meaning.

This policy shall apply to all complaints from the public except complaints regarding a commissioned peace officer who is an employee of the College District. [See CHA] The policy may require

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appeals to be submitted in accordance with GB after the relevant

complaint process.

RESPONSE "Response" shall mean a written communication to the individual

from the appropriate administrator. Responses may be hand-delivered, sent by electronic communication to the individual's email address of record, or sent by U.S. Mail to the individual's mailing address of record. Mailed responses shall be timely if they are

postmarked by U.S. Mail on or before the deadline.

<u>DAYS</u> "<u>Days</u>" shall mean College District business days. In calculating

time lines under this policy, a day a document is filed is "day zero."

The following day is "day one."

REPRESENTATIVE The complainant may designate a representative to represent him

or her at any level of the process by notifying the Chief Public Relations Officer vice president of advancement in writing. If necessary, the College may reschedule any meeting to include the Col-

lege's counsel.

<u>COSTS INCURRED</u> Each party will pay its own costs incurred in the course of the com-

plaint.

NEUTRAL THIRD A neutral third party may be designated to hear a complaint at

PARTY Level One or Level Two if deemed necessary by the Chief Public

Relations Officervice president of advancement.

RECORDING All meetings held with the complainant in accordance with this pol-

icy will be recorded by the College and the recordings will become part of the complaint record. The complainant may request a copy of the recordings by submitting a written request to the Chief Public

Relations Officervice president of advancement.

COMPLAINT FORMS An individual shall file a formal complaint using the College's online

Complaint Form within <u>45ten (10)</u> business days of the date the complainant knew (or reasonably should have known) of the action

that caused the complaint.

http://www.collin.edu/hr/complaints/Public\_Complaints.html

The complaint must provide sufficient detailed facts to support the

allegations, otherwise it may be dismissed.

SCHEDULING
CONFERENCES
The College District shall make reasonable attempts to schedule conferences at a mutually agreeable time. If the individual fails to

appear at a scheduled conference without a compelling reason, the

complaint will be considered withdrawn.

MEETINGS

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LEVEL ONE

The appropriate administrator will investigate as necessary and schedule a conference with the individual within ten (10) 45 business days after receipt of the written complaint. The administrator may set reasonable time limits for the conference.

The administrator will provide the individual a written response within ten (10) 15-business days following the conference. The written response will set forth the basis of the decision. In reaching a decision, the administrator may consider information provided at the Level One conference and any relevant documents or information.

**LEVEL TWO** 

If the individual does not receive the relief requested at Level One or if the time for response has expired, he or she may submit an appeal at Level Two. The appeal notice must be submitted in writing to the vice president of advancement Chief Public Relations Officer within 15ten (10) business days of the date of the written Level One response or, if no response was received, within 15ten (10) business days of the Level One response deadline.

After receiving notice of the appeal, the Level One administrator will prepare and forward a record of the Level One complaint to the Level Two administrator, who will be designated by the <a href="Chief Public Relations Officervice president of advancement">Chief Public Relations Officervice president of advancement</a>. The individual may request a copy of the Level One record.

The Level Two administrator will review the complaint within <u>45ten</u> (10) business days from receipt of the appeal. The hearing officer will determine if a conference is warranted, and if so, will schedule a conference with the complainant to discuss the complaint. However, there will be no express or implied right to a Level Two conference.

The Level Two administrator will reply in writing within 45ten (10) business days from the date of receipt of the complaint, or if a conference is held, within 45ten (10) business days from the date of meeting with the complainant. If a conference is held, the Level Two administrator may set reasonable time limits for the conference. Further, the individual may provide information to the hearing officer about any documents or information relied upon by the administration for the Level One decision.

The Level Two administrator will provide the individual a written response within 15ten (10) business days following the conference. The written response will set forth the basis of the decision. In reaching a decision, the Level Two administrator may consider the Level One record, information provided at the Level Two conference, and any other relevant documents or information as determined by the Level Two administrator. If additional investigation or

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information is required, the Level Two administrator may request such information or meet with individuals as necessary to clarify the record.

The <u>45ten (10)</u> business-day reply deadline may be extended if, due to the nature of the allegation or at the hearing officer's discretion, an investigation is appropriate. In these cases, the hearing officer will respond promptly, generally within 30 business days from the date of filing the complaint. If the reply deadline is extended, the complainant will be notified in writing.

The decision of the Level Two administrator is final and cannot be appealed.

# PRESENTATION TO BOARD

Although the decision of the Level Two administrator is final and may not be appealed, any individual may present a complaint processed under this policy to the Board during the public participation rega portion of a board meeting regardless of the limitation in this policy. The Board is not required to take any action concerning this type of complaint but is required to listen if the complaint is presented at a public meeting. [See BDB]

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