

**Collin County Community College District
Annual Investment Report (Including Deposits)**

August 31, 2017
Market Value

Investment or Deposit Type

Publicly Traded Equity and Similar Investments

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)
Equity/Stock Mutual Funds

Balanced Mutual Funds (where target allocation is > 50% equities)
"Commonfund" Equity Commingled Funds
Other Equity Commingled Funds (if primarily invested in publicly traded equities)
Preferred Stock

Other - list by type

Total Publicly Traded Equity and Similar Investments \$ -

"Other" Investments - Other than Publicly Traded Equity and Debt Investments

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio)
Other Real Asset Investments (e.g. investments in infrastructure funds)

Private Equity
Hedge Funds
"Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)
Annuities
Commodities
Collectibles

Other - list by type

Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments -

Publicly Traded Debt & Similar Investments >1 year maturity

U.S. Government Securities ("Treasuries")
U.S. Government Agency Securities ("Agencies") 16,962,504
Mortgage Pass-Throughs - "Agency"
Mortgage Pass-Throughs - "Private Label"
Asset-Backed Securities (ABS) (other than mortgage-backed securities)
Sovereign Debt (non-U.S.)
Municipal Obligations 7,510,758

Collateralized Mortgage Obligations (CMOs) - list below by category

Interest Only Strips (IOs)
Principal Only Strips (POs)
Inverse Floaters
Stated Final Maturity longer than 10 years
Other CMOs - "Agency"
Other CMOs - "Private Label"

Corporate Obligations (U.S. or foreign companies) - list below by rating

Highly Rated (AAA/AA or equivalent)
Other Investment Grade (A/BBB or equivalent)
High Yield Bonds (<BBB or equivalent)
Not Rated (NR)
Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)
Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)
"Commonfund" Fixed Income/Bond Commingled Funds
Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)
GICs (Guaranteed Investment Contracts)

Other - list by type

Total Publicly Traded Debt & Similar Investments >1 year 24,473,262

Short-Term Investments & Deposits

U.S. Government Securities ("Treasuries") 5,000,649.00
U.S. Government Agency Securities ("Agencies") 85,507,300
Bankers' Acceptances
Commercial Paper - A1/P1 (or equivalent) 22,015,000
Other Commercial Paper - lower rated
Repurchase Agreements (Repos)
Money Market Mutual Funds (registered with the SEC)
Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")
TexPool (and TexPool Prime) 85,577,931
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds 37,707,794
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)
Certificates of Deposit (CD) - Nonnegotiable
Certificates of Deposit (CD) - Negotiable
Bank Deposits 152,655
Cash Held at State Treasury
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)

Other - list by type

Total Short-Term Investments & Deposits 235,961,329
TOTAL INVESTMENTS and DEPOSITS \$ 260,434,591

1. Does Collin County Community College employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval?

NOTE: Institutions should include all consultants and “separately managed account” portfolio managers (in which the underlying investments are wholly owned by the institution) in this disclosure.

Collin College contracts Patterson & Associates as investment advisors located at Barton Oaks Plaza II, 901 South MoPac, Suite 195, Austin, TX 78746. Patterson & Associates does not have authority to make investment decisions without prior approval.

Institutions that, in addition, choose to disclose information about their participation in various forms of “commingled funds” should distinguish that information from the required listing of “separately managed” investment accounts. In general, commingled funds represent pooled investments in which multiple investors contribute money to the fund and own a share of the pool but do not own the individual investments. This structure could include the various pooled investment funds managed by Commonfund, as well as “alternative investments” such as hedge funds and limited partnerships that invest in private equity, real estate, or other assets.

No

2. Does Collin County Community College use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of such arrangements.

No

3. Is Collin County Community College associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment’s or foundation’s investments.

Yes

Northern Trust, NA as Agent
c/o Lisa Vasquez
3452 Spur 399, Suite 429
McKinney, TX 75069

8/31/17 Permanently Restricted Endowment Corpus - \$6,582,506

Market Value

TexPool @ 8/31	-0-
Northern Trust Endowment	\$10,279,825
Northern Trust Short Term	\$ 272,364
Concord Trust	\$ 2,333,876